



DRS REQUEST FORM

(Direct Registration System Request Form)

Please note that the following will be required to initiate a DRS request

1. All fields below must be completed.
2. Most recent statement showing the shares is transferring with match title of account and dated within 3 months.
3. Please note there is a \$50.00 SogoTrade DRS Fee plus a Transfer Agent Fee ranging from \$0-150 per request.

1. Information about your account:

Title of Your Account:	
SogoTrade Account Number:	SSN / Tax ID:

2. Information about the account you are transferring:

Title of Your Account:	
Account Number:	Name of Firm:
Address of Firm:	
City, State, ZIP	Phone Number:

3. Information about the security you are transferring:

Quantity*	Symbol / CUSIP	Description

***No Fractional Shares.**

4. "Deposited Securities Request Questionnaire

- Is this a bulletin board security? YES NO
- Is this a pink sheet security? YES NO
- Is this an unregistered security? YES NO

5. Signature

By signing this form, I/We agree to hold all parties acting on this request, including the introducing SogoTrade, Inc. and Apex Clearing Corporation, and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request. Unless otherwise indicated in the instructions, please transfer all assets in my account to Apex Clearing. I/We understand that to the extent any assets in my account are not readily transferable with or without penalties; such assets may not be transferred within the time frames required by NYSE Rule 412 or similar rule of FINRA or other designated examining authority. I/We authorize you to deduct any outstanding fees due you from the credit balance in my account. If my account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees due you, I authorize you to liquidate the assets in my account to the extent necessary to satisfy that obligation. I/We understand the SogoTrade DRS Fee and Transfer Agent Fee are non-refundable once the request is initiated.

Primary Holder Signature Date Joint Holder Signature Date

Internal Use Only Registered Principal Approval

Name Signature Date

Compliance Officer Approval

Name Signature Date